



## INDIANA ENVIRONMENTAL STEWARDSHIP PROGRAM ANNUAL PERFORMANCE REPORT

State Form 53475 (R3 / 1-11)

INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT  
ENVIRONMENTAL STEWARDSHIP PROGRAM

Indiana Department of Environmental Management  
Office of Pollution Prevention and Technical Assistance  
MC 64-00, Room IGCS W041  
100 North Senate Avenue  
Indianapolis, IN 46204-2251  
Telephone: (800) 988-7901  
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Please use this form if you are a member of the Indiana Environmental Stewardship Program (ESP) to report on progress toward objectives and targets AND certify ESP requirements continue to be achieved. Indiana ESP facilities must submit an Annual Performance Report (APR) by April 1<sup>st</sup> of every year, for each calendar year in which the entity has been a member for at least three (3) full months. Section C of your APR should be signed by your ISO 14001:2004 EMS Lead Auditor. Your APR should be reviewed and signed by a senior manager at your facility prior to submittal. Once signed, e-mail the APR to IDEM at [esp@idem.IN.gov](mailto:esp@idem.IN.gov). Please do not include any confidential business information in your annual performance report. Public access laws require IDEM to make the APR publicly available, which may include posting all portions of your report on the Indiana ESP Web site. If you have any questions, please contact IDEM at [esp@idem.IN.gov](mailto:esp@idem.IN.gov) or (800) 988-7901.

### SECTION A

### FACILITY INFORMATION

Name of facility

Uniseal, Inc.

Name of parent company (If applicable)

Koch Enterprises

Street address (number and street)

1014 Uhlhorn St.

City / State / ZIP code

Evansville, IN 47710

Web site of Facility/Company

[www.uniseal.com](http://www.uniseal.com)

### CONTACT INFORMATION

Name of Contact (Mr. / Mrs. / Ms. / Dr.)

Mr. Tracy Barber

Title

Safety/Environmental Director

Telephone number

(812) 425-1361

FAX number

(812) 452-3325

E-mail address

[tbarber@uniseal.com](mailto:tbarber@uniseal.com)

Mailing address (if different from facility address)

Same as above

City / State / ZIP Code

Same as above

### REPORTING PERIOD

Reporting period dates (month, day, year)

1/1/12 - 12/31/12

1a Is this the third Annual Performance Report of your membership term?

☐ Yes—If yes, answer question 1b.

☒ No—If no, skip to the "Change in Information" section of this report.

1b Do you wish to renew your Indiana Environmental Stewardship Program membership?

☐ Yes—If yes, please complete all sections of this annual report.

☐ No—If no, please complete all sections of this annual report except for Section F.

### CHANGE IN INFORMATION

In your ESP application and, perhaps, in previous annual performance reports, you described what your facility does or makes. Have there been any changes or additions to your facility's list of products or activities?

☐ Yes—If yes, please describe them:

☒ No

### SECTION B

### PUBLIC OUTREACH AND PERFORMANCE REPORTING

Why do we need this information?

IDEM needs to know how environmental information was shared with the public.

What do you need to do?

Describe how the facility has shared and plans to share environmental information.

Please briefly describe the activities that your facility conducted during this reporting period to interact with the community on environmental issues and to report publicly on its environmental performance. **Safety/Environmental Fair for Team Members and families. P3 Member**

Please indicate which of the following methods your facility plans to use to make its ESP Annual Performance Report available to the public. Please check as many as appropriate.

☐ Web site (<http://www.> ) ☐ Open house ☐ Meetings ☐ Press releases ☐ Other



## SECTION C

## ENVIRONMENTAL MANAGEMENT SYSTEM ASSESSMENT

Why do we need this information?

Facilities need to have implemented an EMS that meets certain criteria and use an ISO 14001:2004 EMS Lead Auditor at least every 36 months to assess the EMS.

What do you need to do?  
Answer the following questions  
about your EMS.

What is the most recent date that an ISO 14001:2004 EMS Lead Auditor performed an EMS assessment at your facility? 7/13/12

Is the date of the most recent EMS assessment performed by an ISO 14001:2004 EMS Lead Auditor within the past 36 months?

☒ Yes—If yes, skip to Question 3.

☐ No—If no, please have your ISO 14001:2004 EMS Lead Auditor complete and sign the following checklist, indicating whether or not your EMS meets the listed criteria for ESP membership:

- ☐ Yes ☐ No Evidence of senior management support, commitment, and approval.
- ☐ Yes ☐ No A written environmental policy directed toward compliance, pollution prevention, and continuous improvement.
- ☐ Yes ☐ No Identification of the environmental aspects at the entity.
- ☐ Yes ☐ No Prioritization of the environmental aspects and a determination of those aspects deemed significant considering, at the minimum, environmental impacts and applicable laws and regulations.
- ☐ Yes ☐ No Established priorities, and environmental objectives and targets for continuous improvement in environmental performance and for ensuring compliance with applicable environmental laws, regulations, and permit conditions. Objectives and targets must go beyond current legal requirements and specify the environmental media, types of pollution to be prevented or reduced, implementation activities, and projected time frames.
- ☐ Yes ☐ No An established community outreach mechanism that includes identifying and responding to community concerns; informing the community of important matters that affect the community; and reporting on the EMS, including reporting to the public on the environmental policy and significant aspects.
- ☐ Yes ☐ No Incorporation of environmental and pollution prevention planning in the development of new products, processes, and services and modifications of existing processes.
- ☐ Yes ☐ No Evidence of clear responsibility for implementation, training, monitoring, EMS maintenance, taking corrective action, and ensuring compliance with applicable environmental laws, regulations, and permit conditions.
- ☐ Yes ☐ No Documentation of the implementation procedures and the results of implementation.
- ☐ Yes ☐ No Appropriate written EMS procedures.
- ☐ Yes ☐ No An annual evaluation of the EMS with written results provided to senior management and affected employees.

Signature of ISO 14001:2004 EMS Lead Auditor

Date (month, day, year)

Were any deficiencies found during the most recent EMS assessment?

☐ No—If no, skip to Question 4.

☒ Yes—If yes, describe any deficiencies found and the corrective action taken to address each deficiency: See Exhibit 1, 2, and 3

Name, title, and organization of ISO 14001:2004 EMS Lead Auditor that conducted the most recent EMS assessment: Jean Johnson, ASR Lead Auditor

What type of protocol was used to perform the independent EMS assessment?

- ☒ ISO 14001:2004 Certified audit
- ☐ Responsible Care EMS audit
- ☐ Responsible Care 14001 audit
- ☐ ESP Independent Assessment Protocol
- ☐ Other (please specify):

Is the EMS certified to a recognized standard?

☒ Yes—If yes, what standard does the EMS follow (please provide a copy of the most recent certificate)?

- ☒ ISO 14001:2004
- ☐ Responsible Care EMS
- ☐ Responsible Care 14001

☐ No.

When was the last Senior Management review of your EMS completed?

Month / Year: 4/2013

Who headed the review (name and title)? Tracy Barber Safety/Environmental Director



When did your facility last conduct an internal or corporate environmental compliance audit? Do not include inspections or site visits by regulatory organizations.

Scope of the compliance audit: EPCRA, RCRA, Air Regulations, SPCC, SPW3s, AST's, etc.

Month(s) / Year(s): 6/2012

Who conducted the audit(s) (e.g., facility staff, corporate, third party)? Facility Managers & Staff

Explain the emergencies experienced within the facility during the past year. Were the applicable emergency and contingency plans detailed in the EMS effective? What changes, if any, have been made to your facility's emergency or contingency plans?

No emergencies in RY 2012. Uniseal's SPCC plans and controls were updated.

Has your facility corrected all instances of potential environmental non-compliance and EMS non-conformance identified during your audits and other assessments?

☒ Yes—If yes, briefly summarize corrective actions taken and other improvements made as a result of your EMS assessment(s) or compliance audit(s).  
See Exhibits 1,2 & 3

☐ No—If no, please explain your plans to correct these instances.

☐ No such instances identified.

(Optional) Please provide a narrative summary of progress made toward EMS objectives and targets other than those reported as an Environmental Performance Initiative in Section E. You may limit the summary to environmental aspects that are *significant* and towards which *progress* has been made during the last calendar year. Attach additional sheets as necessary.

Environmental aspect	Progress made this year (e.g., quantitative or qualitative improvements, activities conducted)

SECTION D		ADDITIONAL INFORMATION	
<b>Why do we need this information?</b> This information will help IDEM to effectively manage the Environmental Stewardship Program.		<b>What do you need to do?</b> Answer the questions as completely as possible.	
In addition to ESP, please list environmental awards received or voluntary programs participated in during the past twelve months. P3 Member, Tri-State Manufacturers Alliance Energy Conservation Peer Group Member			
Has your facility taken advantage of any ESP incentives? If so, please describe the implementation process and list additional benefits IDEM should consider. NA			
If your facility was not registered to the ISO 14001 standard prior to becoming an ESP member, has ESP helped you to pursue registration? If so, how has ESP been instrumental in achieving registration? NA			

SECTION E		ENVIRONMENTAL IMPROVEMENT INITIATIVE RESULTS		
<b>Why do we need this information?</b> Facilities need to share the results of the environmental improvement initiative that was pursued during the reporting period.		<b>What do you need to do?</b> Summarize your facility's progress on achieving the initiative you identified in the application or last year's APR.		
Category: <u>Energy Usage</u> Indicator: <u>Natural gas</u>	Baseline Quantity	Future Goal Quantity	Current Quantity	Cost Savings
Calendar year	2011	2012	2012	\$13,802 per year
Actual quantity (per year)	40,716	33,804	21,276	
Normalized quantity (per year)	10.02	12.06	19.17	
Basis for your normalizing factor (e.g., gallons of paint produced)	Gallons of base processed/MMBtu used			
Measurement unit (e.g., pounds)	MMBtu			
Briefly describe how you achieved improvements for this environmental initiative or, if relevant, any circumstances that delayed progress. See Exhibit 5				
Please list any state, U.S. EPA, or other partnership programs to which you are reporting this data (e.g., Energy Star, Project XL). NA				
(Optional) If your facility has experienced continued results for environmental improvement initiatives pursued in past years of ESP membership, please share those results here.				



## SECTION F

## ENVIRONMENTAL IMPROVEMENT INITIATIVE

## Why do we need this information?

Facilities need to show they are committed to improving their environmental performance.

## What do you need to do?

Refer to the Environmental Performance Table and answer the following questions.

Select the appropriate boxes in the following table to indicate the category and indicator(s) that represents the environmental improvement initiative selected by your facility. For the category and indicator selected, list the baseline year (e.g., 2009) and the future year (e.g., 2010). Next, list the baseline annual quantity (e.g., 5 tons) and future annual quantity (e.g., 2 tons) you are committing to achieve by the end of the future year.

Category	Indicator	Baseline Year 20__12__	Future Year 20__13__	Unit
<input type="checkbox"/> Material Procurement	<input type="checkbox"/> Recycled content			Pounds, tons
	<input type="checkbox"/> Hazardous/toxic components			Pounds, tons
<input type="checkbox"/> Suppliers' Environmental Performance	<input type="checkbox"/> Specify indicator: _____			As specified for the particular indicator
<input type="checkbox"/> Material Use	<input type="checkbox"/> Materials used			Pounds, tons
	<input type="checkbox"/> Hazardous materials used			Pounds, tons
	<input type="checkbox"/> Ozone depleting substances used			CFC-11 equivalent pounds
	<input type="checkbox"/> Total packaging materials used			Pounds, tons
<input type="checkbox"/> Water Use	<input type="checkbox"/> Total water used			Gallons
<input type="checkbox"/> Energy Use	<input type="checkbox"/> Electricity			kWh / MWh, Btu / MMBtu
	<input type="checkbox"/> Steam			kWh / MWh, gallons, ft <sup>3</sup>
	<input type="checkbox"/> Natural gas			Btu / MMBtu
	<input type="checkbox"/> Diesel			Gallons
	<input type="checkbox"/> Propane / LPG			Btu / MMBtu, gallons
	<input type="checkbox"/> Gasoline			Gallons
	<input type="checkbox"/> Solar			kWh / MWh
	<input type="checkbox"/> Wind			kWh / MWh
	<input type="checkbox"/> Landfill gas			Btu / MMBtu
	<input type="checkbox"/> Combined heat and power			kWh / MWh, Btu / MMBtu
	<input type="checkbox"/> Other: _____			_____
<input checked="" type="checkbox"/> Land and Habitat	<input type="checkbox"/> Land and habitat conservation			Square feet, acres
	<input checked="" type="checkbox"/> Community land revitalization	0	12,500 square feet	Square feet, acres
<input type="checkbox"/> Air Emissions	<input type="checkbox"/> Total GHGs			MTCO <sub>2</sub> E
	<input type="checkbox"/> VOCs			Pounds, tons
	<input type="checkbox"/> NO <sub>x</sub> , SO <sub>x</sub> , PM <sub>2.5</sub> , PM <sub>10</sub> , or CO			Pounds, tons
	<input type="checkbox"/> Air toxics			Pounds, tons
	<input type="checkbox"/> Odor			European Odour Units
	<input type="checkbox"/> Radiation			Curies, Becquerels
	<input type="checkbox"/> Dust			Pounds, tons
<input type="checkbox"/> Discharges to Water	<input type="checkbox"/> COD or BOD			Pounds, tons
	<input type="checkbox"/> Toxics			Pounds, tons
	<input type="checkbox"/> Total suspended solids			Pounds, tons
	<input type="checkbox"/> Nutrients			Pounds, tons of N or P
	<input type="checkbox"/> Sediment from runoff			Pounds, tons
	<input type="checkbox"/> Pathogens			MPN/ml, CFU/ml
<input type="checkbox"/> Non-hazardous Waste	<input type="checkbox"/> Landfill			Pounds, tons
<input type="checkbox"/> Hazardous Waste	<input type="checkbox"/> Incineration			Pounds, tons
	<input type="checkbox"/> Reused/recycled off-site			Pounds, tons, gallons
	<input type="checkbox"/> Other: _____			Pounds, tons, gallons
<input type="checkbox"/> Noise	<input type="checkbox"/> Noise			dBA
<input type="checkbox"/> Vibration	<input type="checkbox"/> Vibration			Inches per second
<input type="checkbox"/> Products	<input type="checkbox"/> Expected lifetime energy use			kWh / MWh, Btu / MMBtu
	<input type="checkbox"/> Expected lifetime water use			Gallons
	<input type="checkbox"/> Expected lifetime waste to air, water, or land from product use			Pounds, tons
	<input type="checkbox"/> Waste to air, water, or land from disposal or recovery			Pounds, tons

What activities or process changes do you plan to undertake at your facility to accomplish your initiative (e.g., technology changes in a particular process line, employee training)? See Exhibits 6 & 7

Does this initiative address a significant aspect in your EMS?

☐ Yes

☒ No—If no, please explain why you believe this indicator should be included as an environmental improvement initiative: See Exhibit 6


# CERTIFICATION AND PLEDGE

On behalf of (name of facility) Uniseal, Inc.

I certify that the information contained in this Annual Performance Report and attachments is accurate to the best of my knowledge and that this facility is, to the best of my knowledge and based on reasonable inquiry, currently in compliance with all applicable federal, state, and local environmental requirements, or has a corrective action program in place to attain compliance.

We, Uniseal, Inc., commit to maintaining the principles and goals outlined in our Environmental Management System for our facility's Indiana Environmental Stewardship Program status. We agree to strive for full compliance with all regulations promulgated by the U.S. EPA, state, or local jurisdictions. We agree to promote the Indiana Environmental Stewardship Program and to share our success stories with other facilities. We understand that the Annual Performance Report must be submitted to IDEM by April 1<sup>st</sup> of each year and that we must reapply to the Indiana Environmental Stewardship Program every three years.

I understand that the information provided in this Annual Performance Report will be public record. I am the senior facility manager or authorized facility signatory, and fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is submitting this Annual Performance Report.

Signature 	Title Safety/Environmental Director	Date (month, day, year) 4/1/13
Printed signature <u>Tracy Barber</u>		



## NONCONFORMANCE REPORT

<b>Organization Name:</b> Uniseal		<b>Audit Date:</b> June 13, 2012	
<b>Location:</b> this NC applies to plant 2 (Uhlhorn)			
<b>Process Audited:</b> Spill Response Planning		<b>Nonconformance Number:</b> <span style="background-color: yellow;">JJ-01 – June 2012</span> <span style="color: red;">SPCC</span>	
		<input type="checkbox"/> Major <input checked="" type="checkbox"/> Minor	
<b>Clause Reference:</b> 4.4.7		<b>Standard:</b> ISO 14001:2004	
<b>Description of Nonconformance, Requirement and Objective Evidence:</b>			
<b>Nonconformity:</b> Some premises, upon which the SPCC was written, have proved to be incorrect.			
<b>Requirement:</b> ISO 14001:2004 clause 4.4.7 states, “[Uniseal] shall establish, implement and maintain a procedure(s) to identify potential emergency situations and potential accidents that can have an impact(s) on the environment and how it will respond to them. [Uniseal] shall respond to actual emergency situations and accidents and prevent or mitigate associated adverse environmental impacts. [Uniseal] shall periodically review and, where necessary, revise its emergency preparedness and response procedures, in particular, after the occurrence of accidents or emergency situations.”  To meet this requirement, Uniseal has developed SPCC (Spill Prevention Control and Countermeasure) plan for both of its plants.  The SPCC plan (section 4.3.7 g) for plant #2 (Uhlhorn) contains the following statement, “There are no open floor drains in the facility.”			
<b>Objective Evidence:</b> The following premises have proved to be incorrect: <ul style="list-style-type: none"> <li>• Until recently, the EMS Director, thought the above statement (about “no open drains”) meant that the drains had been permanently sealed.             <ul style="list-style-type: none"> <li>○ It turns out that there are two drains (one near mixer #12 and the other in the area where the milling operation used to be located) that are not permanently sealed (there is a metal plate over them with caulking around the sides, but they can be removed). The drain near mixer #12 had been opened recently to allow floor cleaning water to go down the drain and water from the batch-off area of the mills was also frequently being poured down the other drain.</li> <li>○ The plate covering the drain near where the mills used to be located has two small holes in it. The pump-off station for the 19P018 product ( petroleum-based hydro carbon product) is located very close to this drain.</li> </ul> </li> <li>• Until yesterday, the EMS Director also thought the drain near the mill area, drained into the sanitary water treatment system.             <ul style="list-style-type: none"> <li>○ In looking at site plans from 1966, it shows this drain going into the storm water system. If this is the case, the plan, with regard to notifying the proper agency should a spill occur that went down the drain, would have been a faulty one.</li> </ul> </li> </ul>			
<b>Management Representative:</b> Tracy Barber			<b>Date:</b> June 13, 2012

<b>Lead Auditor:</b> Jean Johnson	<b>Date:</b> June 13, 2012	<b>Auditor:</b> N/A	<b>Date:</b> N/A
<b>Corrective Action Response Date:</b> (30 days from Closing Meeting.) July 13, 2012 (your cert expires on July 15, 2012)			
<b>Follow-up Audit Recommended?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>ORGANIZATION USE ONLY</b>			
<b>Correction:</b> (How did you correct the specific issue(s) and other similar/potential occurrences?) Complete your response here or attach your internal corrective action form. (For ISO/TS 16949 Major NC during a surveillance audit, submit within 20 days of closing meeting to the ASR office and Lead Auditor)  Custom Mechanical was contracted to fill all floor drains with a flexible grout at the Uhlhorn facility. The flexible grout can only be removed through excessive force such as chiseling or drilling. The only exception is one floor drain located near the Uniseal Cooling Tower. This drain will remain open but a standpipe was installed to prevent an oil spill from reaching the drain.  Environmental Engineering Science Associates Inc. was contracted to trace whether floor drains empty into the sanitary sewer or storm sewer. Contrary to what the 1966 site plan indicated, it was found all floor drains empty into the sanitary sewer. Uniseal is currently waiting on the official report from ESA. The report can be sent to ASR upon request.			
<b>Root Cause(s) Identification:</b> (Why/how did your process and system fail?) Complete your response here or attach your internal corrective action form. (For ISO/TS 16949 Major NC during a surveillance audit, submit within 20 days of closing meeting to the ASR office and Lead Auditor)  Many of the Uhlhorn Street facility floor drains were covered by a steel plate sealed to the floor with chalking. Our spill control failed in that the steel plates and chalking could easily be removed by Uniseal employees and/or contractors.			
<b>Corrective Action(s):</b> (What action did the organization take to permanently change the process and system to prevent recurrence?)  All floor drains are permanently sealed. A stand-pipe was installed in the only drain left open.			
<b>Verification Plan:</b> (How will the organization know if corrective action is effective in preventing recurrence?)  Maintenance will conduct an annual PM on the performance of the grout in the floor drains. The PM will include emptying a known amount of water around the sealed drain creating a small pool. A chalk line will be drawn around the pooled water. The water will be allowed to set for a designated time period after which the water level will be checked. If the water recedes, the grout has failed and will be repaired.			
<b>Corrective Action Submitted By:</b> Tracy Barber			<b>Date:</b> 7/10/12
<b>AUDITOR USE ONLY</b>			
<b>Approval of Corrective Action:</b> <input type="checkbox"/> Accept <input type="checkbox"/> Reject			
<b>ASR Auditor:</b>			<b>Date:</b>
<b>Notes:</b>			
<b>Verification of Implementation of Corrective Action:</b> Attach supporting evidence if applicable per audit type when submitting your packet.			
<b>ASR Auditor:</b>			<b>Date:</b>
<b>Verification Sign-off of Corrective Action</b> (Performed during the next audit):			



5281 Clyde Park Ave, S.W.  
Wyoming, MI 49509  
888.891.9002 Fax 616.942.6409

ASR Auditor:	Date:
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## NONCONFORMANCE REPORT

<b>Organization Name:</b> Uniseal		<b>Audit Date:</b> June 13, 2012	
<b>Location:</b> this NC applies to both plants 1 (Maryland Ave.) and 2 (Uhlhorn)			
<b>Process Audited:</b> Training, Competency, Awareness		<b>Nonconformance Number:</b> <span style="background-color: yellow;">JJ-02 – June 2012</span> Training <input type="checkbox"/> Major <input checked="" type="checkbox"/> Minor	
<b>Clause Reference:</b> 4.4.2		<b>Standard:</b> ISO 14001:2004	
<b>Description of Nonconformance, Requirement and Objective Evidence:</b>			
<b>Nonconformity:</b> Uniseal's system for ensuring the requirements pertaining to Competency, Training, and Awareness are being met...is not working in a completely effective manner.			
<b>Requirement:</b> ISO 14001:2004 clause 4.4.2 states, "The organization shall ensure that any person(s) performing tasks for it or on its behalf that have the potential to cause a significant environmental impact(s) identified by the organization is (are) competent on the basis of appropriate education, training or experience, and shall retain associated records. The organization shall identify training needs associated with its environmental aspects and its environmental management system. It shall provide training or take other action to meet these needs, and shall retain associated records. The organization shall establish, implement and maintain a procedure(s) to make persons working for it or on its behalf aware of a) the importance of conformity with the environmental policy and procedures and with the requirements of the environmental management system, b) the significant environmental aspects and related actual or potential impacts associated with their work, and the environmental benefits of improved personal performance, c) their roles and responsibilities in achieving conformity with the requirements of the environmental management system, and d) the potential consequences of departure from specified procedures."  Uniseal has an internal policy whereby people in certain positions in the company must undergo spill response training. This includes people in areas such as shipping.  Uniseal also performs internal training for people who might have occasion to handle hazardous materials. This training course was last held in 2010.			
<b>Objective Evidence:</b> Two problems were found which indicate weaknesses in 1) the notification aspect of the training system...that takes place when someone moves into a different department and 2) the part whereby the output of training is supposed to be competency. <ol style="list-style-type: none"> <li>1. Randy Clemons, who is doing Shipping at Plant #1, has been in this role for about 4 months, but has not gone through spill response training.</li> <li>2. Ronnie, an R&amp;D Paint Booth operator, who needs to know how to handle hazardous waste (paint-related waste), had established a satellite collection area for paint waste on his own without clearly identifying the container with a hazardous waste label.</li> </ol>			

<b>Management Representative:</b> Tracy Barber			<b>Date:</b> June 13, 2012
<b>Lead Auditor:</b> Jean Johnson	<b>Date:</b> June 13, 2012	<b>Auditor:</b> N/A	<b>Date:</b> N/A
<b>Corrective Action Response Date:</b> (30 days from Closing Meeting.) July 13, 2012 (your cert expires on July 15, 2012)			
<b>Follow-up Audit Recommended?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>ORGANIZATION USE ONLY</b>			
<b>Correction:</b> (How did you correct the specific issue(s) and other similar/potential occurrences?) Complete your response here or attach your internal corrective action form. (For ISO/TS 16949 Major NC during a surveillance audit, submit within 20 days of closing meeting to the ASR office and Lead Auditor)  Randy Clemons (shipping operator) was trained on Spill Response and our SPCC Plan. Ronnie Reingardt went through Hazardous Waste Refresher Training. Refresher training for all persons involved in handling hazardous waste will be completed by 7/31/12.  A Safety / Environmental Training Matrix (ISO 4.3.2 / 3-01) was created to better organize and communicate required training.  Safety / Environmental Training Excel Spreadsheet made into a Shared file for HR, Managers and the S/E Department.  Uniseal's internal Employee Transfer Policy was updated to require an email notification system for internal transfers.			
<b>Root Cause(s) Identification:</b> (Why/how did your process and system fail?) Complete your response here or attach your internal corrective action form. (For ISO/TS 16949 Major NC during a surveillance audit, submit within 20 days of closing meeting to the ASR office and Lead Auditor)  Uniseal did not have an adequate system in place for communicating when an employee is transferred to a different department. The Safety/Environmental Department should have been notified when Randy Clemons transferred into Shipping.  The Safety/Environmental Director was the sole owner of the written Safety/Environmental Training protocol and missed training was not flagged.			
<b>Corrective Action(s):</b> (What action did the organization take to permanently change the process and system to prevent recurrence?)  Randy and Ronnie's training will reduce their potential to cause a significant environmental impact.  The Safety / Environmental Training Matrix is a public document which can be reviewed by any Uniseal Team Member. It is available on Uniseal's Intranet. The Safety/Environmental Training Excel Spreadsheet will publicize all completed training for Management.  The updated transfer policy will ensure the Safety/Environmental Department is notified of all internal transfers.			
<b>Verification Plan:</b> (How will the organization know if corrective action is effective in preventing recurrence?)  Managers will have access to Safety/Environmental Training verification. S/E Training, monitoring, record keeping, and verification will be a primary responsibility of the Safety/Environmental Technician.			
<b>Corrective Action Submitted By:</b>			<b>Date:</b>
<b>AUDITOR USE ONLY</b>			
<b>Approval of Corrective Action:</b> <input type="checkbox"/> Accept <input type="checkbox"/> Reject			
<b>ASR Auditor:</b>			<b>Date:</b>



Notes:	
<b>Verification of Implementation of Corrective Action:</b> Attach supporting evidence if applicable per audit type when submitting your packet.	
ASR Auditor:	Date:
<b>Verification Sign-off of Corrective Action</b> (Performed during the next audit):	
ASR Auditor:	Date:



July 13, 2012

Tracy Barber  
Safety Env. Director  
Uniseal, Inc.  
1014 Uhlhorn St.  
Evansville, IN 47710

Re: 1014 Uhlhorn St., Evansville, IN  
1800 W. Maryland St., Evansville, IN

Dear Tracy:

Congratulations!

ASR's Registration Committee has met and reviewed the audit reports from your re-certification audit. The effectiveness of any correction, root cause and corrective actions taken has also been reviewed and verified. We are happy to inform you that the committee has agreed with the audit team's recommendation to accept the corrective actions and to register your ISO 14001 environmental management system with American Systems Registrar.

We have attached a PDF copy of your certificate and the audit report to this e-mail. We have also attached our Customer Evaluation form. Feedback from our clients is very important and assists us with improvement of our services to you. We greatly appreciate any feedback you provide!

Your registration details will be published in the Independent Association of Accredited Registrars Directory of certified organizations. This is a public database and can be accessed at [www.IAAR.org](http://www.IAAR.org).

Original copies of your certificates along with a flag will be sent out via UPS.

We look forward to working with you in the future.

Sincerely,

*Cynthia Redick*

Cynthia Redick  
Administrative Assistant

**"Your Partner in Achieving  
Business Excellence"**

5281 Clyde Park Ave. SW, Suite 1  
Wyoming, MI 49509  
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Toll Free: 888-891-9002  
[www.ASRworldwide.com](http://www.ASRworldwide.com)





**American Systems Registrar, LLC**, Wyoming, MI, USA, a provider of third-party system registration and accredited by the ANSI-ASQ National Accreditation Board for Registrars of Environmental Management Systems, attests that:

## **UNISEAL, INC.**

**1014 UHLHORN STREET  
EVANSVILLE, IL 47710**

**1800 W. MARYLAND STREET  
EVANSVILLE, IN 47712**

with a scope of:

## **DESIGN AND MANUFACTURE OF METAL REINFORCEMENTS, STRUCTURAL ADHESIVES, AND SEALANTS**

has established an environmental management system that conforms to the Environmental Management System Standard

**ISO 14001:2004**

ASR Certificate Number:

**1444**

Date of Certification:

**July 13, 2012**

Date of Certification Expiration:

**July 12, 2015**

Date of Initial Registration:

**August 21, 2001**

Revision:

President

# **CERTIFICATE OF REGISTRATION**

## 2012 Uniseal ESP Project

Before our 2012 initiative, our base cooker was not scheduled on specific days. Production would run the cooker whenever they had downtime and there was an internal order for base sometime in the future.

When the cooker is run, it reaches a very high temperature through natural gas consumption. Before 2012, only one batch would be made at a time so it took a tremendous amount of heat to bring the cooker up to temperature, only to make one batch and allow the cooker to cool back down to room temperature. This was a very inefficient process.

We started scheduling the cooker for back to back batches which eliminated the cooker from heating and cooling multiple times in the course of a week. A significant amount of natural gas was saved in the process.



### **2013 Uniseal ESP commitment**

Uniseal will sign a 3 year Landscape Maintenance License in connection with the City of Evansville and its Board of Parks Commissioners for a community land revitalization project.

Uniseal will be responsible for maintaining a portion of the city's Pigeon Creek Greenway Passage for a term of 3 years. Uniseal's proposal is to adopt a 250' x 50' area at the Uhlhorn St. Trailhead.

The actual area Uniseal will be adopting is a grassy area across from Uniseal's Uhlhorn St. campus right off Uhlhorn St. in Evansville. The design is to have a mounded planting of native shrubs, bedding flowers, dogwood trees spaced evenly along the trail. The dogwoods will be ringed by various combinations of daylilies.

This area is visited heavily and is highly visible. The Greenway Passage trail is on the north end of the grassy area, the Evansville Humane Society is on the East, public storage buildings and residences on the West and Uhlhorn St. on the south.

The Pigeon Creek Greenway Passage will serve as a legacy of a green environment for generations to come. It has been funded with state and federal transportation grants along with city revenue from gaming proceeds and corporate gifts. You or your organization can help by making donations for trail construction, benches, trees, outdoor art, trash receptacles and other amenities.

Send contributions, designated for "Greenway Amenities" to:  
 Evansville Parks Foundation  
 P.O. Box 3112  
 Evansville, Indiana 47730

To view the future plans and for more information, log on to the Web site at [www.pcgreenway.org](http://www.pcgreenway.org).



Search: Pigeon Creek Greenway

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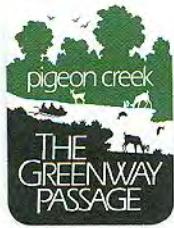
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Convention & Visitors Bureau



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Pigeon Creek Greenway Passage  
 Evansville Parks Foundation  
 P.O. Box 3112  
 Evansville, Indiana 47730

# PIGEON CREEK GREENWAY PASSAGE

EVANSVILLE, INDIANA





# Greenway Map





